09553288.042500

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (SMALL BUSINESS CONCERN)

Attorney Docket No.
N19.12-0033

Inventor(s): Nobuyuki Kambe and Peter S. Dardi

Title: SELF-ASSEMBLED STRUCTURES

With respect to the invention described in

X the application filed herewith;

application Serial No. __, filed _:

__ Patent No. __, issued __:

I. IDENTIFICATION OF DECLARANT AND ANY RIGHTS AS A SMALL ENTITY

I am:

__ the owner of the small business concern identified below:

X an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN NanoGram Corporation

ADDRESS OF CONCERN 46774 Lakeview Boulevard
Fremont, CA 94538

The above-identified small business concern qualifies as a small business concern as defined in 13 CFR § 121.12, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees under 35 USC §§ 41(a) and (b).

II. OWNERSHIP OF INVENTION BY DECLARANT

Rights under contract or law remain with or have been conveyed to the above-identified concern. If the rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person who could not be classified as (1) an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, (2) a small business concern under 37 CFR § 1.9(d) or (3) a non-profit organization under 37 CFR § 1.9(e).

X There is no such person, concern, or organization

The person, concerns or organizations are listed below:

FULL NAME ______________________________

ADDRESS ______________________________

☐ Individual
☐ Small Business Concern
☐ Non-Profit Organization

III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change resulting in loss of entitlement to small entity status pursuant to 37 CFR § 1.28(b).

IV. DECLARATION

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

V. SIGNATURES

SIGNATURE ______________ Date: 4/25/2000

NAME OF PERSON SIGNING Dr. Nobuyuki Kambe

TITLE OF PERSON Vice President of Market Development

ADDRESS OF PERSON SIGNING
NanoGram Corporation
46774 Lakeview Boulevard
Fremont, CA 94538

09558266.042500

JC715 U.S. PTO
04/25/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kambe et al.
Filed : Herewith
For : SELF-ASSEMBLED STRUCTURES
docket No.: N19.12-0033

TRANSMITTAL LETTER

"Express Mail" mailing label number: EL420658804US
Date of Deposit: April 25, 2000

The following paper(s) and/or fee(s) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231:

1. Our check in the amount of $564.00
2. Fee Calculation Sheet (in duplicate)
3. Patent Application comprising the following pages:
    - 1 Abstract
    - 54 Specification
    - 4 Claims
4. 6 Sheets of drawings
5. Executed Declaration and Power of Attorney (3 pages)
6. Small Entity Declaration

Under 37 CFR § 1.136(a)(3), applicant(s) hereby authorize(s) for any future reply, the incorporation of any required petition for extension of time for the appropriate length of time and authorize the charging of fees under § 1.17 to deposit account 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: Peter S. Dardi

Peter S. Dardi, Ph.D., Reg. No. 39,650
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222
Fax: (612) 334-3312

PSD:nw